Tax Year 2023 Required Trust/Estate Tax Questionnaire

Please answer all the following questions. If you are unsure of the answer to a question, select "yes". Please submit details for any question answered "yes."

Trust/Estate Name____
Federal ID # ____________________________

DOCUMENT CHECKLIST

Please provide any income tax documents expected to be received by the trust/estate, including those listed below. Check all that apply:

- ☐ 1099-G (government payments)
- ☐ 1099-INT (interest)
- ☐ 1099-DIV (dividends)
- ☐ 1099-B (brokerage sales)
- ☐ 1099-MISC (rents, etc.)
- ☐ 1099-R (IRA and pension income)
- ☐ Consolidated 1099
- ☐ Schedules K-1 (partnership, S Corporation, other trust/estate income)
- ☐ 1098 (mortgage interest)
- ☐ Copies of any tax elections or revocations in effect
- ☐ Closing Disclosure (real estate sales/purchases)
- ☐ Any other tax information statements

GENERAL INFORMATION:

1. If we did not prepare the return for the trust/estate last year, or if this is the initial year for the trust/estate, please provide information about the trustee or fiduciary.

   Trustee/Fiduciary Name and Title ______________________________________

   Trustee/Fiduciary Federal ID number ________________________

   Street Address ____

   City __________________ County _______________ State _________ Zip Code _________

   Telephone # ______________________ Email Address __________________________

   State of Residence for 2023 __________________

2.	If we did not prepare the return for the trust/estate last year, or if this is the initial year for the trust/estate, please provide the names, Social Security numbers(s), state(s) of residence for 2023, current addresses, telephone numbers and email addresses for the beneficiaries. Name ______________________ SSN ______________ State of Residence __________ Address: ____ Telephone # ________________ Email Address ______________________ Name ______________________ SSN ______________ State of Residence __________ Address: ____ Telephone # ________________ Email Address ______________________ Name ______________________ SSN ______________ State of Residence __________ Address: ____ Telephone # ________________ Email Address ______________________ *If there are additional beneficiaries, please include them in the additional section at the end of this form.*	
3.	Is this the initial year for the trust/estate? If yes, please provide a copy of the trust document or decedent's will and the trust/estate IRS EIN letter.	☐ YES ☐ NO
4.	Has an estate tax return (Federal From 706 or state form) has been filed? If yes, please provide a copy of the tax return.	☐ YES ☐ NO
5.	If this is a trust, has there been any change to the trust document? If yes, please provide a copy of the updated document.	☐ YES ☐ NO
6.	Was an estate or trust or estate tax return filed in 2022 by another tax firm? If yes, please provide a copy.	☐ YES ☐ NO
7.	Did the fiduciary, trustee, or executor change during the year? If yes, please provide updated contact information, including name, address, daytime telephone number, and email address. Name ______________________ Address: ____ Telephone # ________________ Email Address ______________________	☐ YES ☐ NO
8.	Did the address of the trust or estate change during the year? If yes, please provide updated address information. ____	☐ YES ☐ NO

9. Did the address of any beneficiary change during the year? If yes, please provide the new address and effective date of the change. ________________	☐ YES ☐ NO
10. Was there a change in beneficiaries (for example, did a beneficiary pass away during the year, etc.)? If yes, please provide the details and effective date of the change. ________________	☐ YES ☐ NO
11. Did the trust/estate distribute any cash or property during the tax year or within 65 days following year-end? If yes: a. What was the amount of cash distributed to each beneficiary? ________________ b. Provide details of any noncash distributions of assets. ________________	☐ YES ☐ NO
12. Did you receive correspondence from the IRS or any taxing authority? If yes, please provide a copy of the correspondence with your tax documents.	☐ YES ☐ NO
13. Does the trust/estate own rental real estate? If yes, please provide details. ________________	☐ YES ☐ NO
DEDUCTIONS AND EXPENSES:	
14. Did the trust/estate pay any fiduciary or trustee fees during the tax year? If yes, please provide details. ________________	☐ YES ☐ NO
15. Did the trust/estate pay any accounting or tax preparation/planning fees, excluding any amounts paid to Allworth Tax Solutions? If yes, please provide details. ________________	☐ YES ☐ NO
16. Did the trust/estate pay any attorney fees? If yes, please provide details. ________________	☐ YES ☐ NO
17. Did the trust/estate pay any custodial or asset management fees, excluding amounts paid to Allworth Financial? If yes, please provide details. ________________	☐ YES ☐ NO
18. Did the trust/estate make any charitable contributions (if allowed by the will or trust document)? If yes, please provide details. ________________	☐ YES ☐ NO

PURCHASES, SALES, AND DEBT:	
19. Aside from items reported on 1099-B forms, did the trust/estate sell any type of asset (rental real estate, investment property, land, securities, bonds, privately held corporations or partnerships, collectibles, etc.)? If yes: a. Attach a settlement statement or bill of sale from the date of purchase and date of sale, along with a statement of cost bases for any additional items purchased and improvements made but not listed on the settlement sheets or bills of sale. b. If the trust/estate sold any asset at a loss, please indicate if the buyer was a related party. ______________________________ c. Please indicate if the trust/estate sold any asset in conjunction with a plan to acquire a like-kind asset in a 1031 exchange. ______________________________	☐ YES ☐ NO
20. Did the trust/estate have any debts cancelled, forgiven, or refinanced during the year? If yes, please provide details. ______________________________	☐ YES ☐ NO
21. Did the trust/estate receive, sell, exchange, gift, or dispose of a digital asset (or a financial interest in a digital asset) during the tax year? If yes, please provide details. ______________________________	☐ YES ☐ NO
ESTIMATED TAX PAYMENTS:	
22. Did the trust/estate make any federal or state estimated tax payments for the 2023 tax year? If yes, please list the amounts paid and the date of each payment. ______________________________	☐ YES ☐ NO
23. Do you want any overpayment of taxes applied to next year's estimated taxes?	☐ YES ☐ NO
24. Do you want a balance due directly debited from your bank account? If yes and you are a new tax client or if your information has changed from last year, please upload a voided check securely via SafeSend or provide your bank routing and account number below: Routing Number ________________ Account Number ________________ The account number listed above is a ◯ Checking Account ◯ Savings Account	☐ YES ☐ NO
25. If you provided your bank information to us, do you want next year's estimated taxes withdrawn from this same bank account on the due date?	☐ YES ☐ NO
MISCELLANEOUS:	
26. Did the trust/estate create or transfer money or property to a foreign trust? If yes, please provide details. ______________________________	☐ YES ☐ NO

27. Does the trust/estate have any interest or signature authority over a bank, securities or other financial account in a foreign country? If yes, please provide details. ____	☐ YES ☐ NO
28. Was the aggregate value of all the foreign accounts over which the trust has authority equal to or more than US $10,000 at any time during the year? If yes, please provide details about the accounts, including bank information and highest value at any time during the year in the foreign currency. ____	☐ YES ☐ NO
29. Does the trust/estate have an interest in specified foreign financial assets valued at more than $50,000 on the last day of the tax year, or more than $75,000 at any time during the tax year? If yes, please provide details. ____	☐ YES ☐ NO
2024 TAX PLANNING:	
30. Does the trust/estate expect a substantial change in its income, deductions, or distributions to beneficiaries in 2024? If yes, please provide details. ____	☐ YES ☐ NO

Additional questions or comments:

REQUIRED SIGNATURES:

TRUSTEE/FIDUCIARY NAME	TRUSTEE/FIDUCIARY SIGNATURE	DATE
CO-TRUSTEE/CO-FIDUCIARY NAME	CO-TRUSTEE/CO-FIDUCIARY SIGNATURE	DATE

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