

Please answer all the following questions. If you are unsure of the answer to a question, select "yes." Please submit details for any question answered "yes."

PERSONAL INFORMATION:	
1. Did any births, adoptions, marriages, separations, divorces, or deaths occur related to you, your spouse or any of your dependents for the 2023 tax year? If yes, please provide the details. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Did your address change during the year? If yes, please provide your new address and the date you moved. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
3. Where there any changes to dependents from the prior year? If yes, please provide details. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. Did you have any children under age 19 or full-time students under age 24 at the end of the tax year with interest and dividend income of more than \$1,250, or total investment income of more than \$2,500? If yes, please provide details. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
HEALTHCARE COVERAGE:	
5. Did you and your dependents have health care coverage for the full tax year 2023? If you received IRS document Form 1095-A (Health Insurance Marketplace Statement) please provide a copy.	<input type="checkbox"/> Yes <input type="checkbox"/> No
INCOME:	
6. Were you a resident of, or did you work in more than one state, or did you receive income from more than one state during the year? You may be required to file tax returns and may also owe taxes in those states. If yes, please provide details. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
7. Did you receive or pay any alimony or separate maintenance payments under a valid divorce decree that became effective prior to 1/1/2019? If yes, please provide details. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
8. Excluding items reported on your tax Form 1099's and brokerage statements, did you have any foreign income or pay any foreign taxes, or receive any foreign gifts? If yes, please provide details. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
9. Did you receive any installment payments on property sales that occurred in a prior tax year? If yes, please provide details. _____	<input type="checkbox"/> Yes <input type="checkbox"/> No

<p>10. Did you have any other income that was not disclosed on forms W-2 or 1099, including disability, unreported tip income, and state tax refunds or stimulus payments? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>PURCHASES, SALES, AND DEBT:</p>	
<p>11. Did you purchase a clean (plug-in electric or fuel cell) vehicle in 2023? If yes, please include the purchase contract with your tax documents and provide details below.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>12. Did you buy or sell any stocks, bonds, or other investment property during the tax year that is not included on any Tax Form 1099 or escrow closing statements you will provide us? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>13. Did you make any residential energy efficient or clean energy improvements or purchases involving solar, wind, geothermal, or fuel cell sources during the tax year? If you believe your purchase qualifies you for a tax credit, please provide details below and include documentation from the manufacturer with your tax documents.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>14. Did you have any debts cancelled or forgiven, or did anyone owe you money which has become uncollectible? (This would include a home foreclosure or short sale or loan modification which decreased the amount of principal on your loan). If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>15. Did you pay sales or use tax on the purchase of a vehicle, boat, aircraft, or other special item during the tax year? If yes, please provide the amount of sales tax paid on the item(s): _____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>RETIREMENT PLANS:</p>	
<p>16. Did you receive a distribution from a retirement plan, such as a 401(k), IRA, SEP, SIMPLE, or other Qualified Retirement Plan? If yes, please provide details and include the associated Form 1099-R with your tax documents.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>17. Did you receive a qualified disaster-related distribution from a retirement plan during the 2020, 2021, 2022, or 2023 tax years that you elected to include in income or repay over 3 years? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>18. Did you complete a Roth Conversion during the tax year? If yes, please provide details and include the associated 1099-R with your tax documents.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>19. Did you transfer or rollover funds from one traditional or Roth retirement plan to another traditional or Roth retirement plan? If yes, please provide details and include the associated 1099-R with your tax documents, if applicable. (A rollover from one type of plan to another type of plan (e.g., 401(k) to IRA) is a reportable transaction which usually generates a tax reporting document.)</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<p>20. Did you contribute to a retirement plan, such as a 401(k), IRA, SEP, SIMPLE, or other Qualified Retirement Plan? Include any non-deductible IRA contributions made during the tax year. If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>21. Did you request any distributions from your IRA to be paid directly to a qualified charity (QCD)? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>22. Do you have basis (from making non-deductible contributions) in any IRA accounts as of 12/31/22? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>23. Did you have a Solo 401(k) plan (also known as an individual 401(k) or one-participant 401(k) plan) open any time during the tax year?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>24. Would you like to consider making an IRA or other retirement plan contribution to be included in your 2023 personal tax returns?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>ITEMIZED DEDUCTIONS:</p>	
<p>25. Some states (but not the IRS) allow you to deduct unreimbursed work expenses. If you have expenses you want to consider as a deduction, please click yes and provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>26. Did you make any monetary (i.e., cash, check, credit card, etc.) charitable contributions? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>27. Did you make any nonmonetary (i.e., property) charitable contributions and if so, can you prove they were in good condition? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>ESTIMATED TAXES:</p>	
<p>28. Did you apply an overpayment of 2022 taxes to your 2023 estimated tax (instead of receiving a refund)?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>29. If you have an overpayment of 2023 taxes, do you want the excess applied to your 2024 estimated taxes instead of being refunded?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>30. Do you want any federal or state refund deposited directly to your bank account? If yes and you are a new tax client or if your information has changed from last year, please upload a voided check securely via SafeSend or provide your bank routing and account number below:</p> <p>Routing # _____ Account # _____</p> <p>The account number listed above is a: <input type="checkbox"/> Checking <input type="checkbox"/> Savings account.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>31. If you provided your bank information to us, do you want any balance due directly withdrawn from this same bank account on the due date?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>32. If you provided your bank information to us, do you want next year's estimated taxes withdrawn from this same bank account on the due date?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<p>33. Did you make any federal or state estimated tax payments for 2023? If yes, please provide the amounts paid and the date of each payment:</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>34. Do you expect a large fluctuation in your income, deductions or withholding in 2024? If yes, please provide details to help us recommend if you should make estimated tax payments.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>35. Have you received a notification from any state that you are required to pay your state taxes electronically? Some states may require this based on the dollar amount of your tax payment. These states should have sent you a notification if you are under such a requirement.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>RENTAL PROPERTY AND BUSINESS OWNERS:</p>	
<p>36. Did you start a business or farm or acquire an interest in a business, entity, or trust? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>37. Did you purchase or dispose of any rental, business, or farm assets (furniture, equipment, vehicles, real estate, etc.), or convert any personal assets to rental, business, or farm use? If yes, please provide details. If you purchased a rental property, please provide the settlement statement.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>38. Did you sell any real estate or asset in conjunction with a plan to acquire a like-kind real estate or asset in a 1031 exchange? If yes, please explain.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>FOREIGN ITEMS:</p>	
<p>39. Did you have an interest in or signature or other authority over a financial account in a foreign country, such as a bank account, securities account, or other financial account? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>40. Was the aggregate value of all foreign accounts over which you have authority equal to or more than \$10,000 on any calendar day in 2023? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>41. Did you receive a distribution from, or were you the grantor of, or transferor to, a foreign trust? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<p>42. Do you have an interest in a “specified foreign financial asset” in which the aggregate value of all such assets is greater than \$50,000? (For example, securities issued by foreign persons, interest in a foreign entity, any financial instrument or contract held for investment that has a foreign issuer or counterparty.) If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>43. Are you an officer, director, shareholder, or trustee or have any other interest in a foreign entity or trust? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>DIGITAL ASSETS: A digital asset is any virtual currency of value that functions as a medium of exchange, a unit of account and/or a store of value. Cryptocurrency, such as Bitcoin, is an example of a digital asset. The sale or exchange or the use of digital assets to pay for goods or services, or holding digital assets as an investment, may have tax consequences that could result in tax liability.</p>	
<p>44. At any time during 2023, did you: (a) receive (as a reward, award, or payment for property or services); or (b) sell, exchange, gift, make payment for property or services or otherwise dispose of a digital asset (or financial interest in a digital asset)? If yes, please provide the details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>MISCELLANEOUS:</p>	
<p>45. Do you wish to engage our services to prepare additional tax returns for your children or other family members, business entities, estates, or trusts? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>46. Do you want our firm to file for an extension of time to file your tax returns due to an expected delay in receiving all your tax forms (such as a late K-1)? If you are expecting one or more K-1s to arrive after March 31, 2024, an extension is required. If yes, please explain.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>47. Do you (and your spouse) want to allocate \$3 to the Presidential Election Campaign Fund?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>48. Was your home rented out or used for business? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>49. Did you pay cash wages of \$2,600 or more to any one household employee during 2023? If yes, please provide details. (A household worker may be considered your employee if they follow your specific instructions and use equipment and supplies that you provide to perform the work that you pay them to do. The payroll responsibilities for household employers are outlined in IRS publication 926 Household Employer’s Tax Guide.)</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>50. Did you receive a notice from or were you audited by either the IRS or a state taxing agency? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>51. Did you make any gifts to an individual that total more than \$17,000, or any gifts to a trust? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<p>52. Did you purchase merchandise outside of your state of residency or over the internet where no sales tax was paid? (Some states require or request you voluntarily pay this on your income tax returns). If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>53. Do you have an HSA account that earned any interest, dividend, capital gain or other investment income in 2023? If yes, please provide statements for 2023 as some states tax this income.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>54. Did you pay any child or dependent care expenses? If yes, please provide details.</p> <p>_____</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>55. Do you have an IRS issued IP PIN number that prevents someone else from filing a tax return using your Social Security number or Individual Taxpayer Identification number? If yes, please upload your IP PIN number securely via SafeSend.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

Additional questions or comments:

REQUIRED SIGNATURES:

_____ YOUR NAME	_____ YOUR SIGNATURE	_____ DATE
--------------------	-------------------------	---------------

_____ SPOUSE'S NAME	_____ SPOUSE'S SIGNATURE	_____ DATE
------------------------	-----------------------------	---------------

340 Palladio Pkwy, Suite 501 • Folsom, CA 95630
toll free: (866) 426-1555 • call: (916) 426-1555 • fax: (916) 426-1556
AllworthTaxSolutions.com